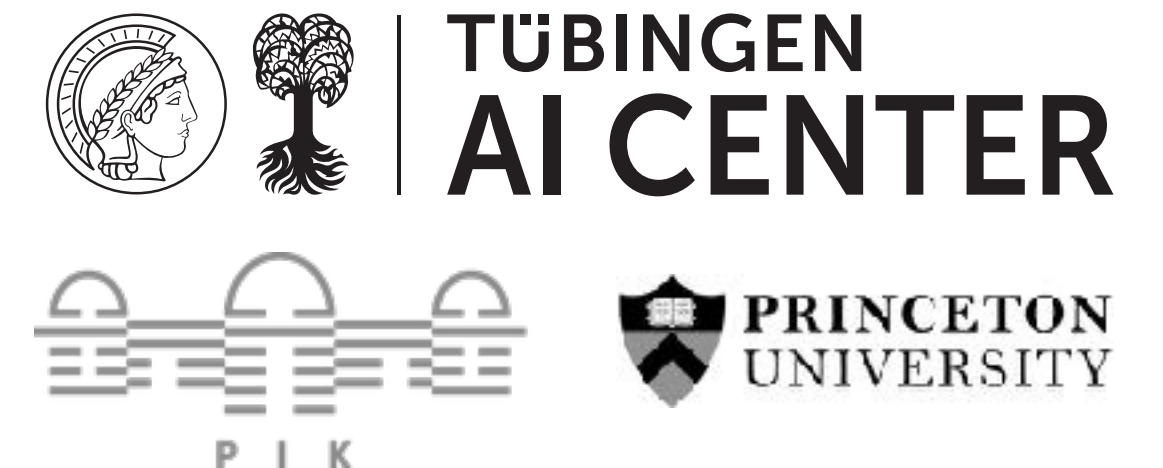


Collective Cooperative Intelligence

Wolfram Barfuss

New Directions in Cooperative AI Seminar

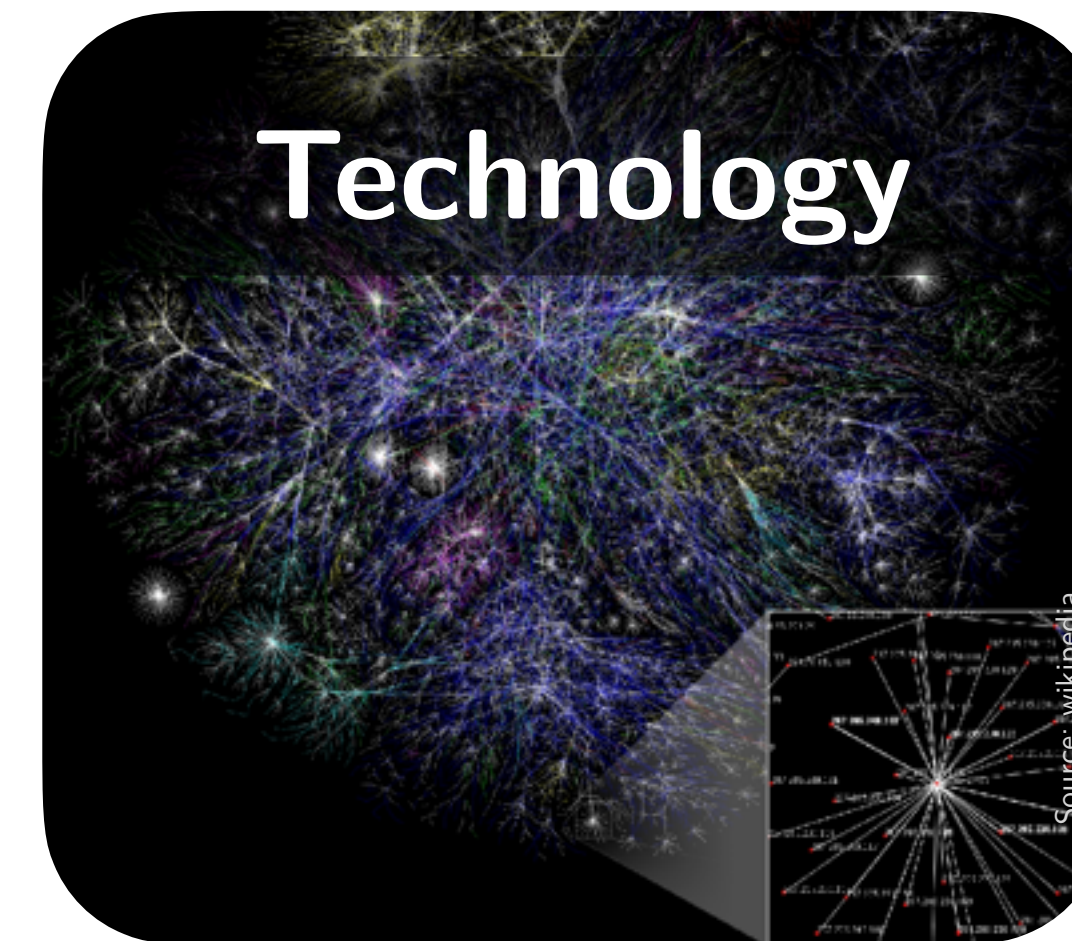
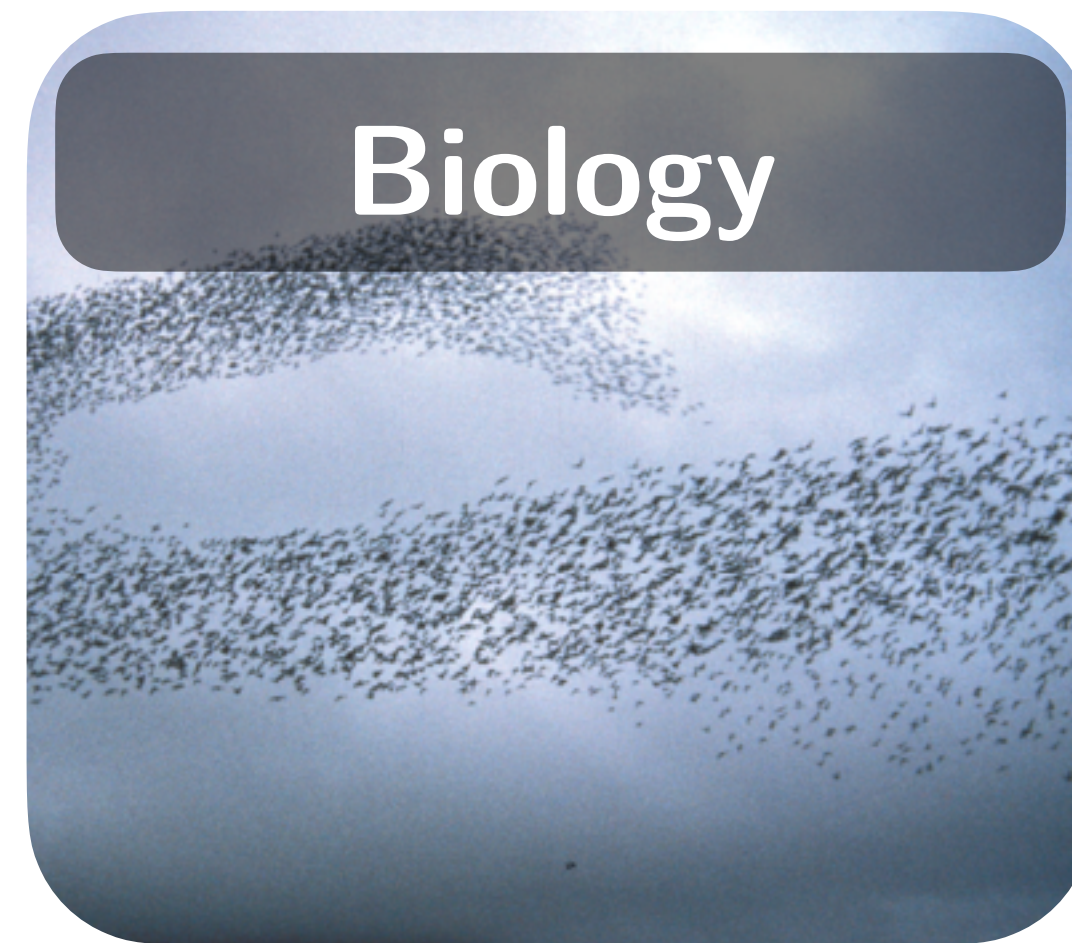
May 19, 2022



Challenge

Collective Cooperation

- many individuals acting in the common interest
... even if their incentives are not aligned



What makes intelligent behavior cooperative?

How can we overcome limitations to cooperation?

How can AI help?

Collective Cooperative Intelligence

Building Bridges between Complex Systems and Multiagent Machine Learning

Bridging communities is **important**

Bridging communities is **neglected**

Bridging communities is **tractable**

Important

Social dilemmas in classic game theory

Mutual cooperation
social optimal strategy

		Agent 2	
		Cooperate	Defect
Agent 1	C	1, 1	-2, 3
	D	3, -2	0, 0

← Defection dominant strategy

Prisoner's Dilemma
Public Good Games

- ➔ Outside authorities required
- ➔ Cooperation can be stable in repeated interactions
- ⚡ Challenges for the classic game-theoretic approach
 - Hyperrational agents
 - Equilibrium selection problem
 - Lack of a dynamic theory

<https://plato.stanford.edu/entries/game-evolutionary/>

Artificial Intelligence

Machine learning

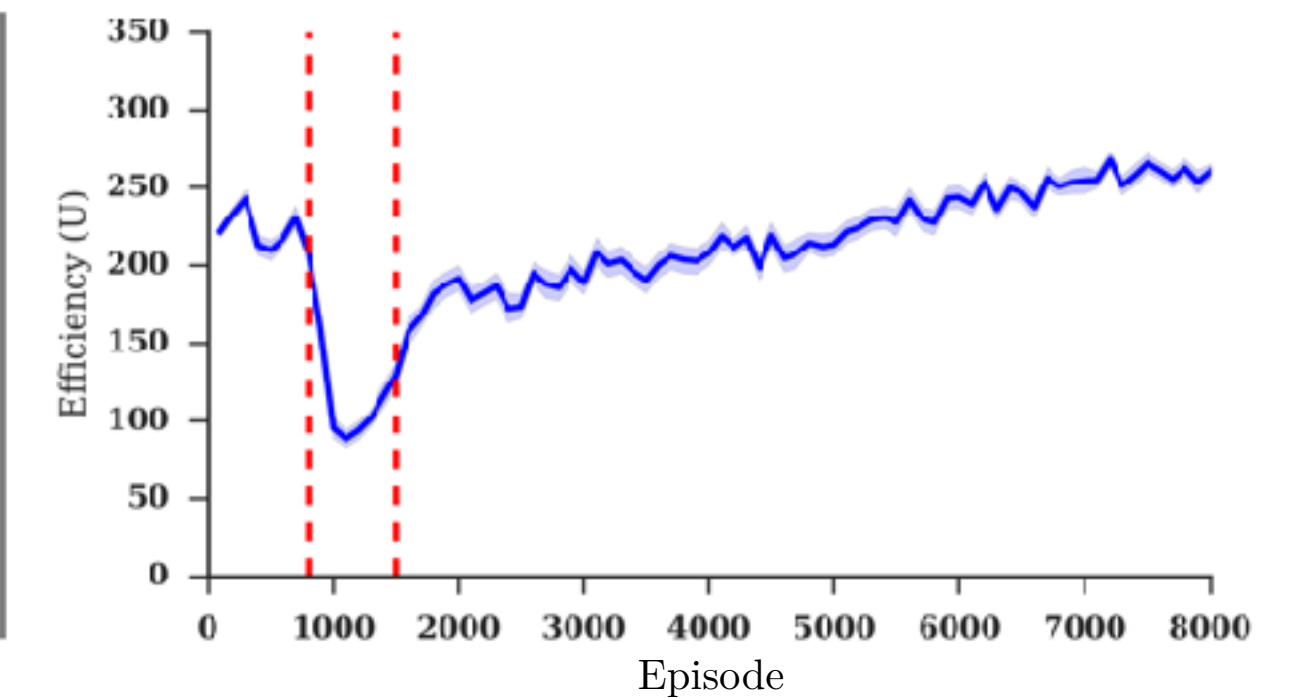
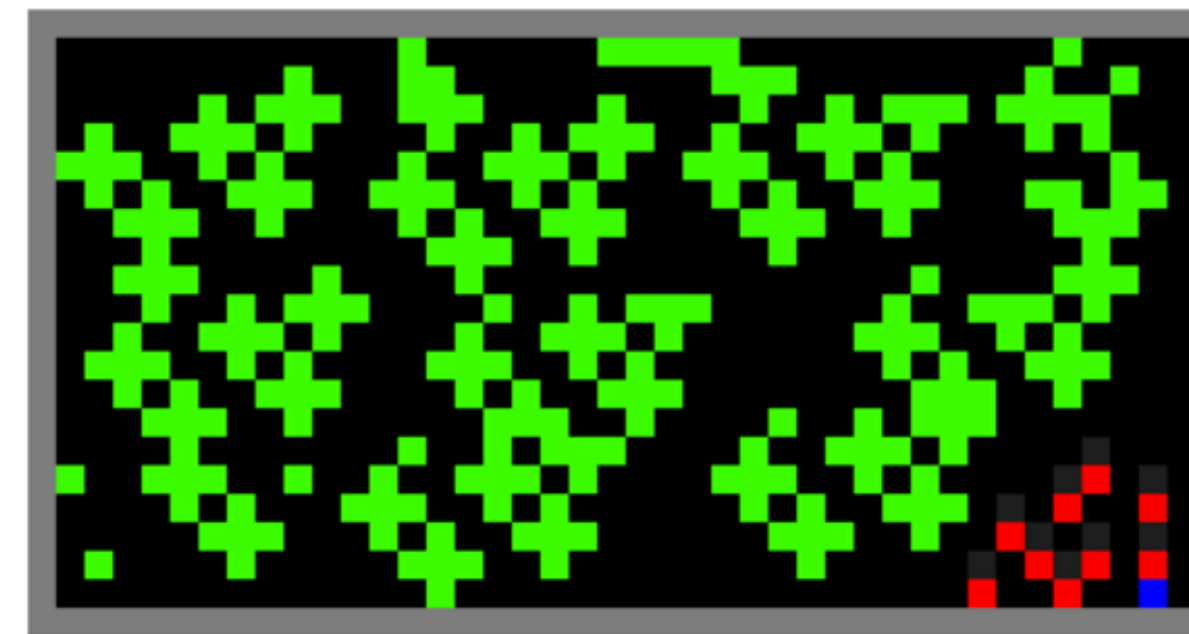
🔧 focus on a single intelligent individual situated in complex environments

🎯 improve on multi-agent cooperation



The image shows a screenshot of a Nature article titled "Cooperative AI: machines must learn to find common ground". The article is dated 04 May 2021 and is a comment on a previous article. The authors listed are Allan Dafoe, Edward Hughes, Yoram Bachrach, Tantum Collins, Kevin R. McKee, Joel Z. Leibo, Kate Larson, and Thore Graepel. An arXiv preprint overlay is visible, showing the title "Open Problems in Cooperative AI" and the submission date "[Submitted on 15 Dec 2020]". The arXiv preprint is in the field of Computer Science > Artificial Intelligence.

➡ use deep multi-agent reinforcement learning when standard methods of non-cooperative game theory can no longer be used because of environmental complexity



⚡ Challenge for the AI/ML approach

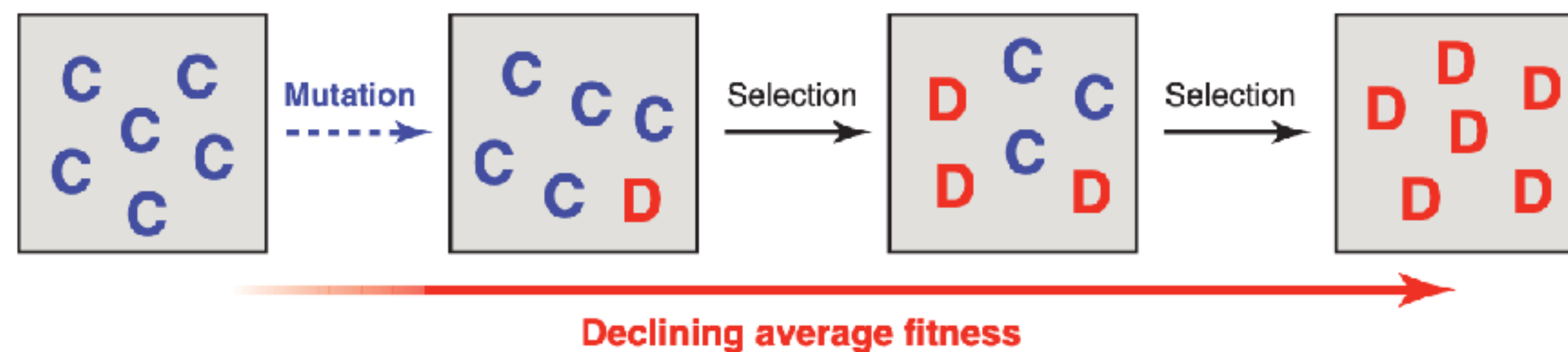
- Limited understanding & explainability
- Resource intensive

Complex Systems Theories

Evolutionary game theory and complex social networks

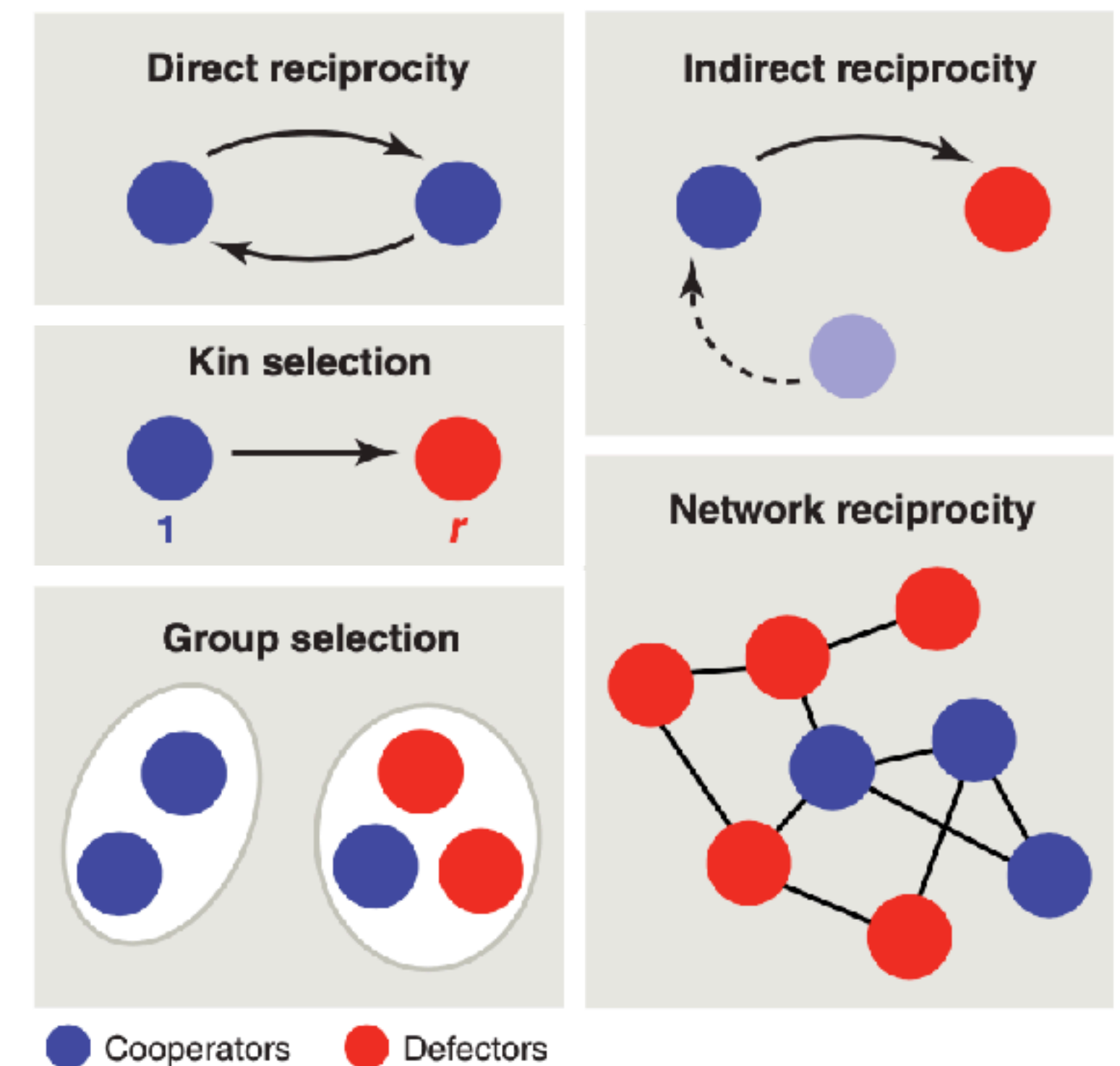
🔨 explains the evolution of collective cooperation from simple individuals & interactions

🎯 understand the emergence of cooperation



⚡ Challenges for complex systems approaches

- Individual rationality is extremely bounded
- Can say little about individual cognition
- Traditionally focuses on static, non-changing physical environments



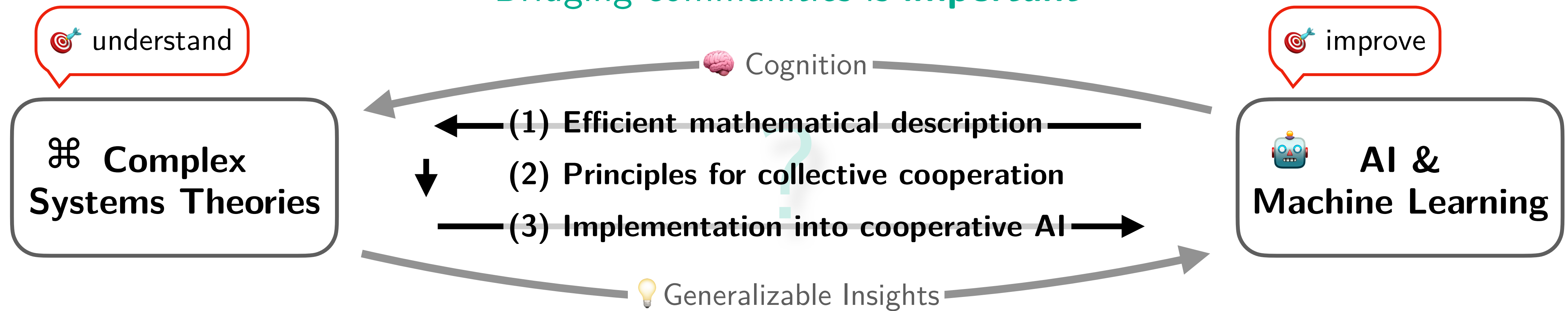
Nowak (2006) *Five rules of the evolution of cooperation*

Han (2022) *Understanding Emergent Behaviours in Multi-Agent Systems with Evolutionary Game Theory*

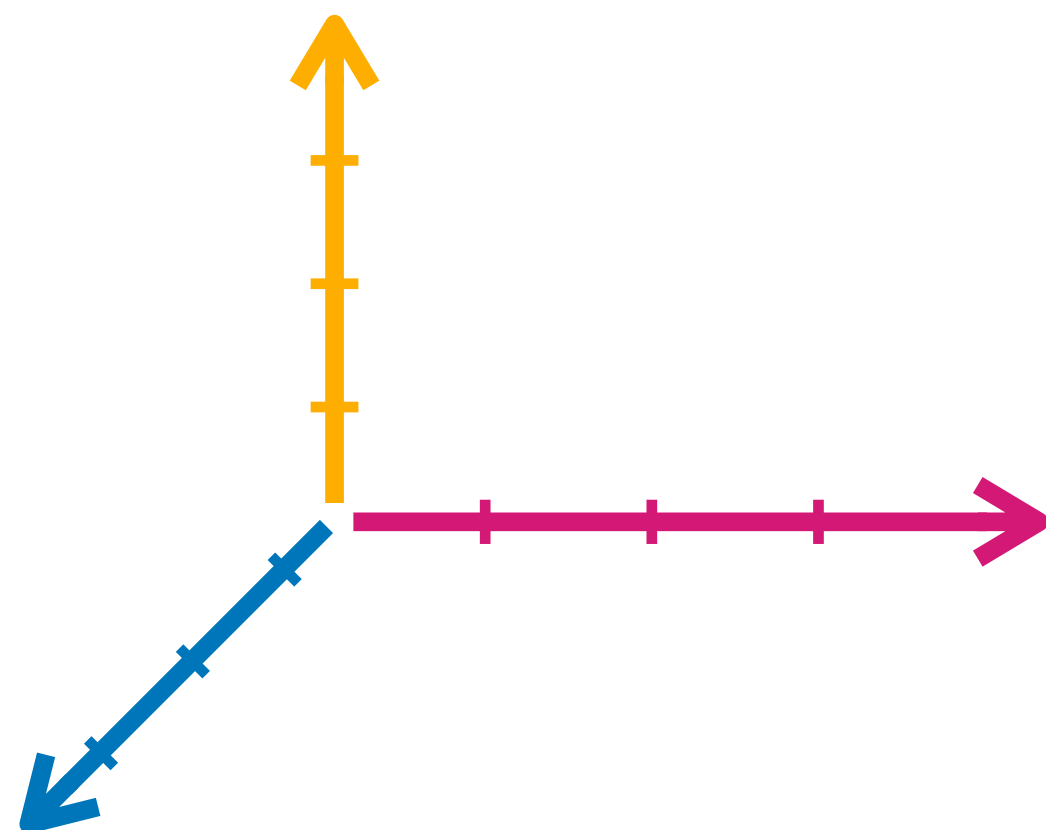
Collective Cooperative Intelligence

Building Bridges between Complex Systems and Multiagent Machine Learning

Bridging communities is **important**

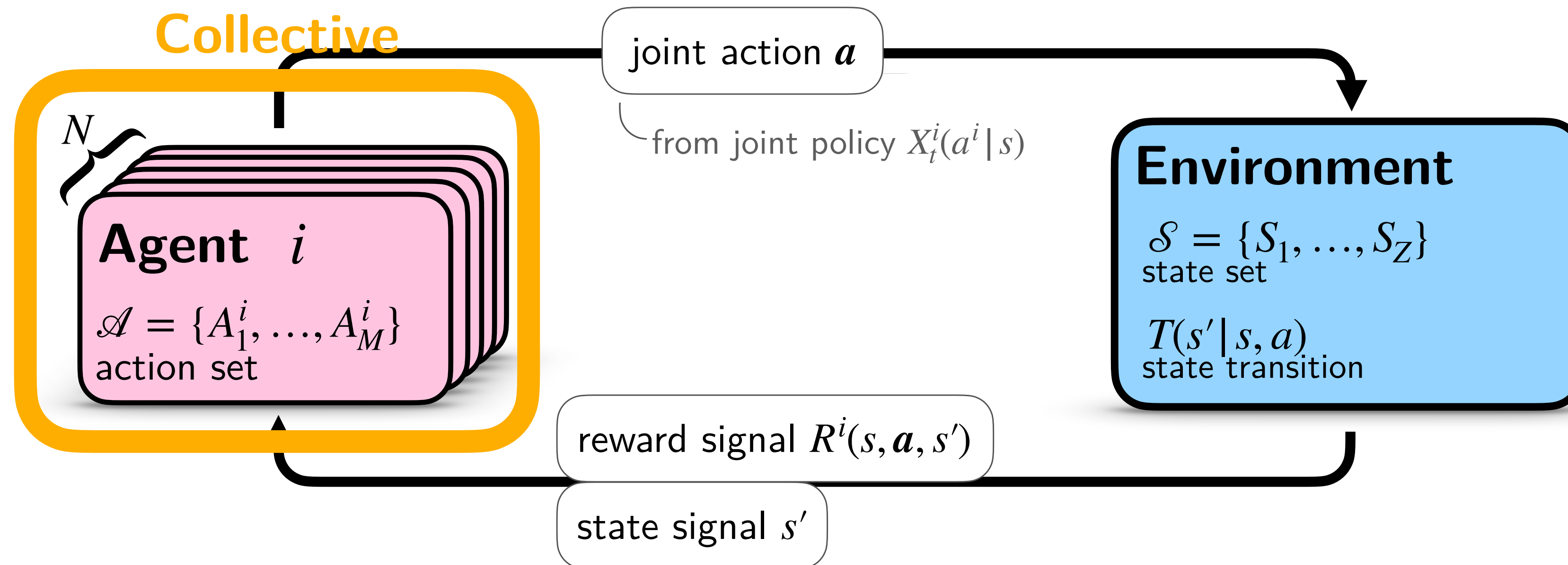


Bridging communities is **neglected**



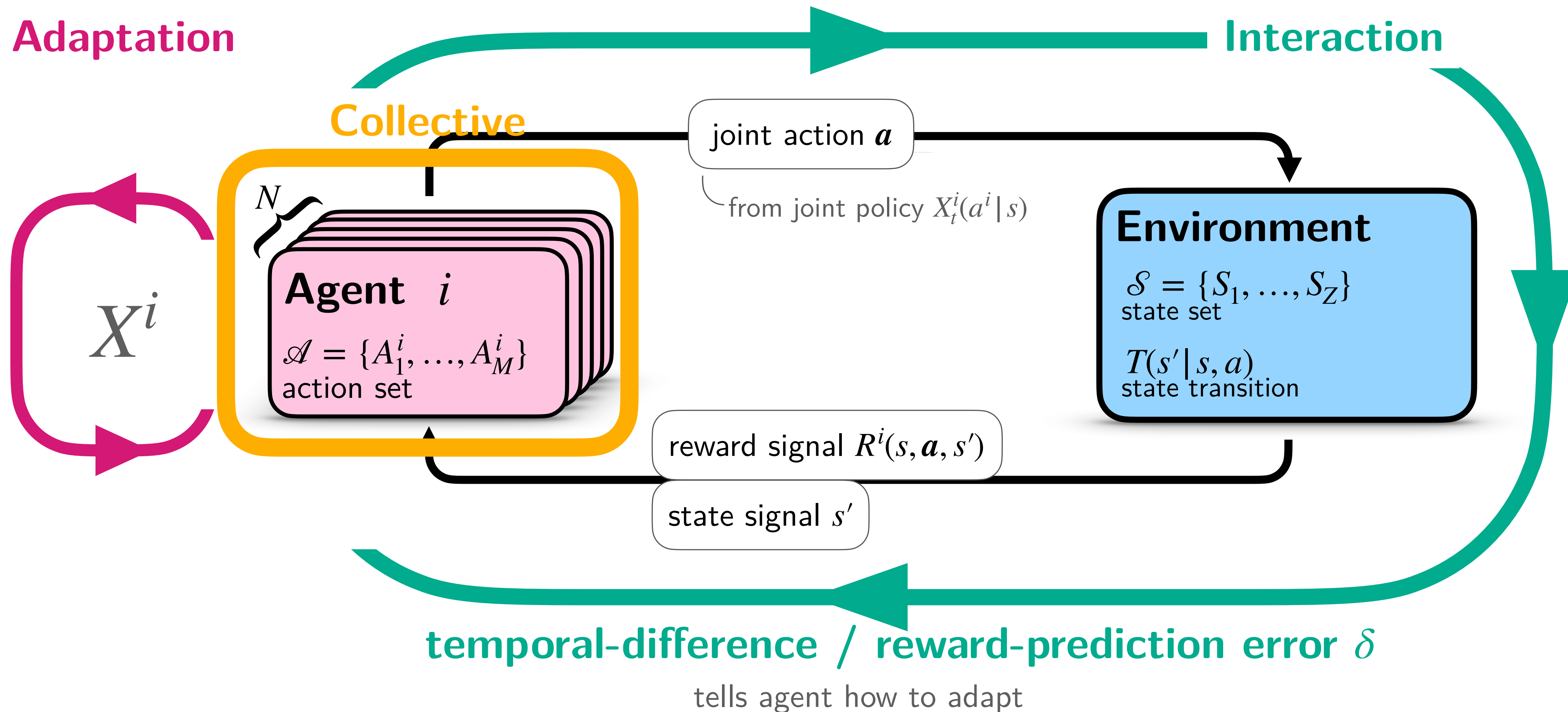
Neglected

Multiagent-Environment Interface



Temporal-Difference Reinforcement Learning

as a general principle to link behavior to the environment

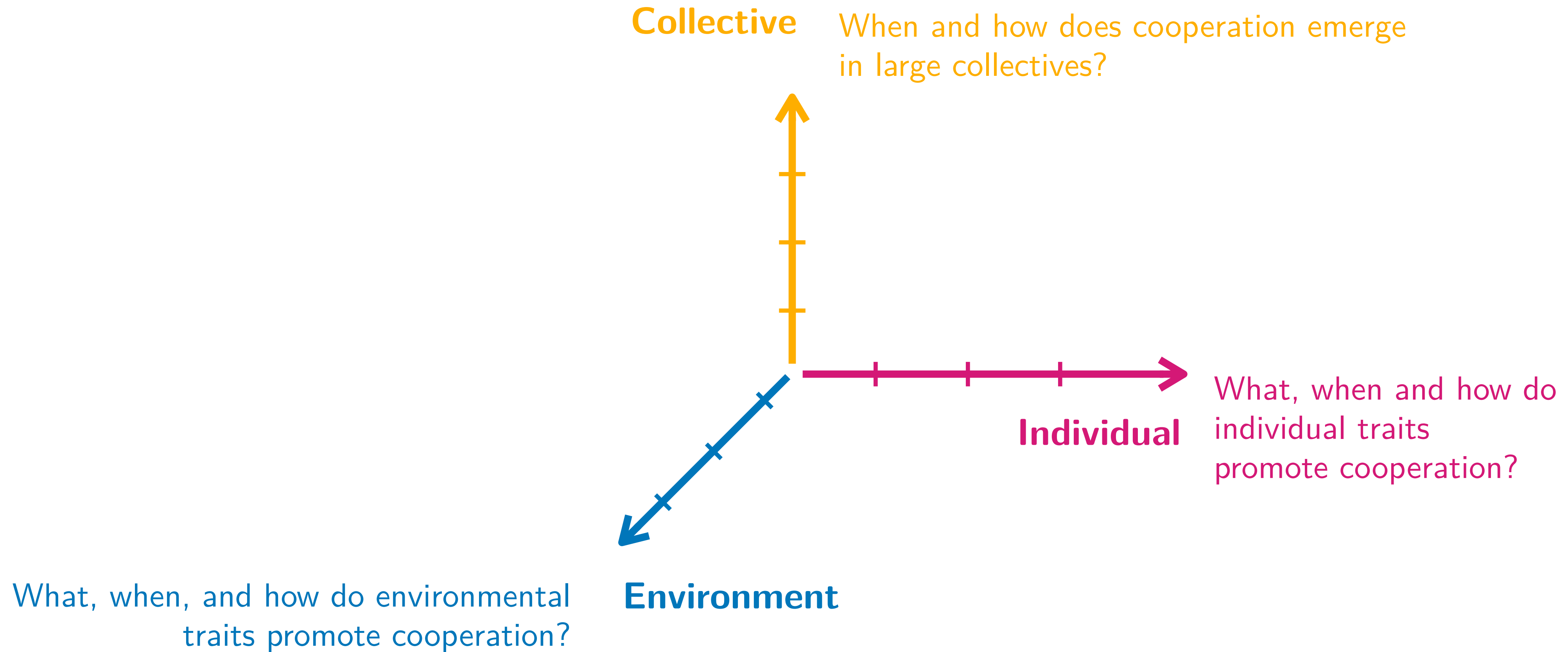


Sutton & Barto (2018) Reinforcement Learning

Schultz, Dayan & Montague (1997) A Neural Substrate of Prediction and Reward

Multiagent-environment systems

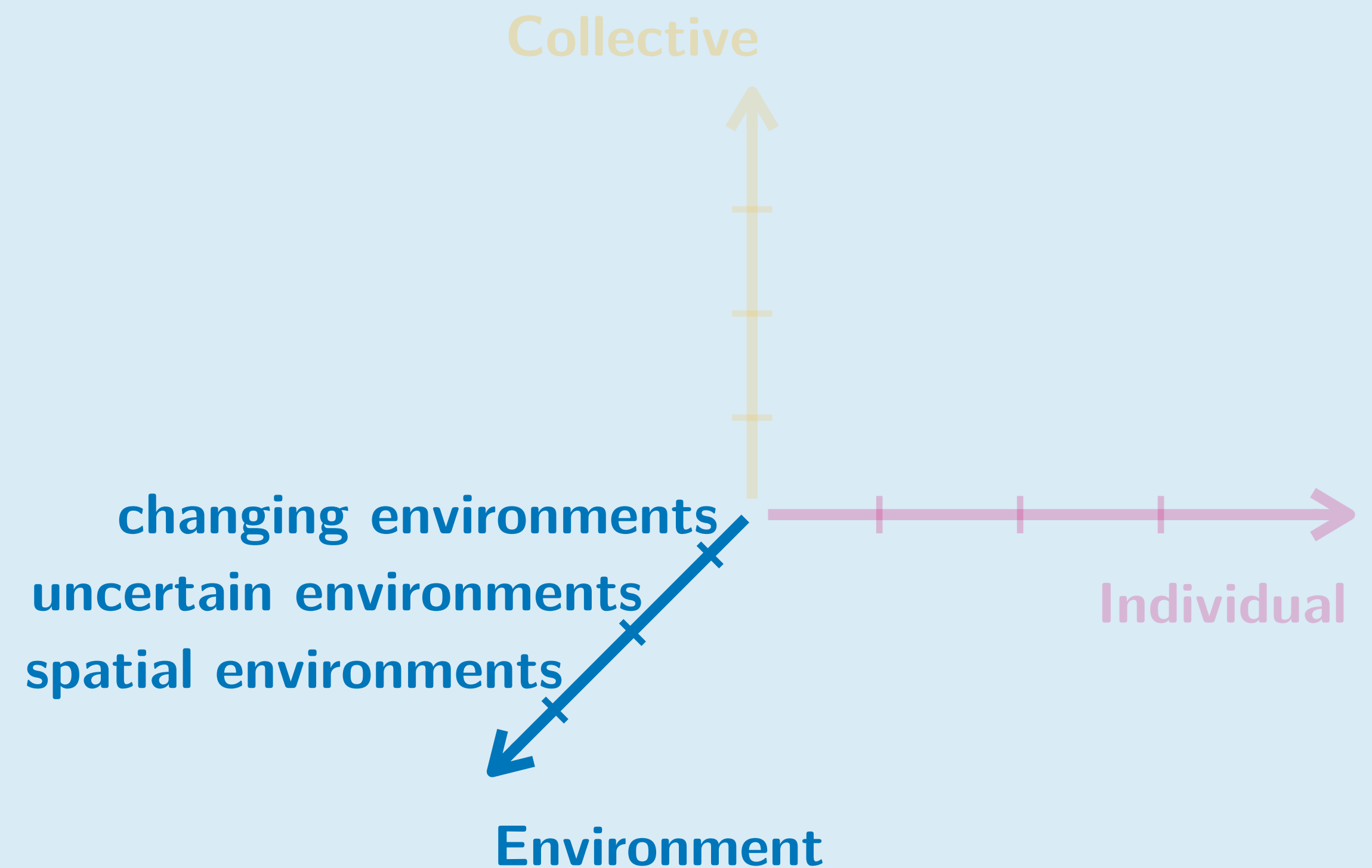
from a complex systems perspective



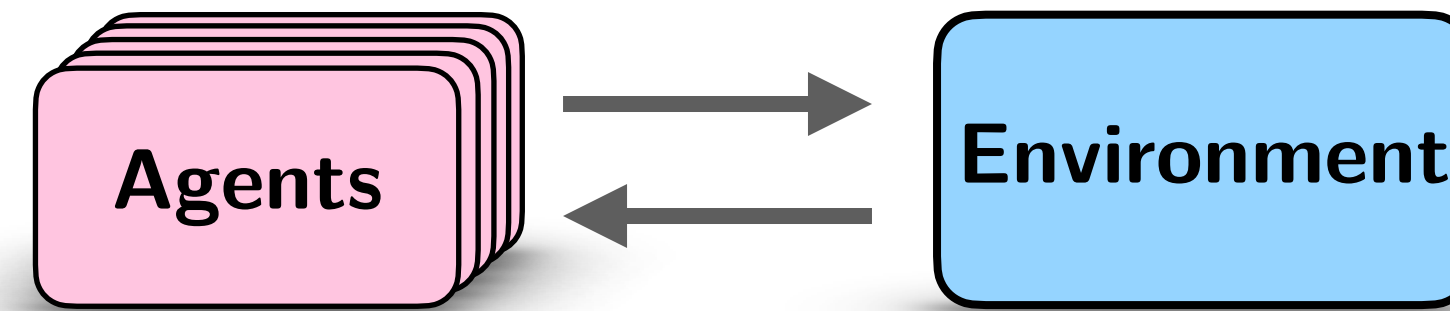
Environment

What, when, and how do environmental traits promote cooperation?

- ML → large, changing & uncertain environments
- CS → social environment, less on a dynamic physical environment
- ? How to bring environments to a complex-systems treatment and provide insights for cooperative AI?

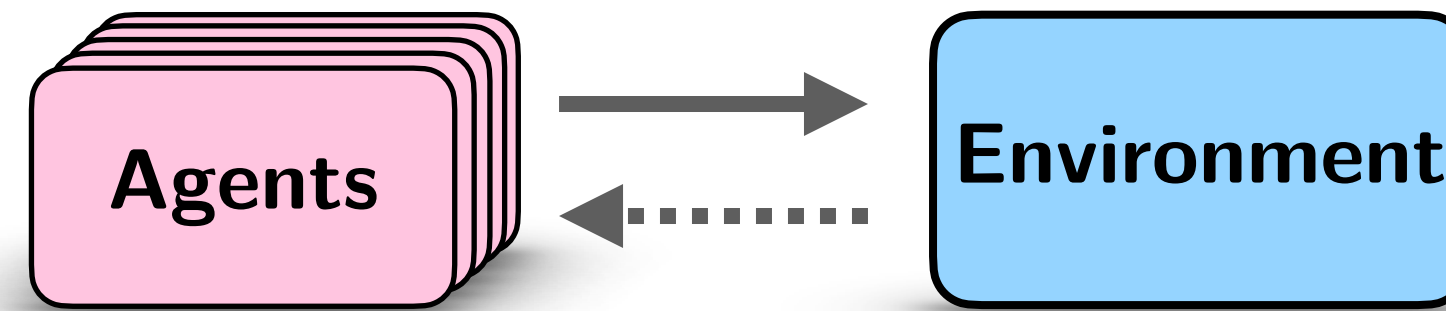


Changing Environments



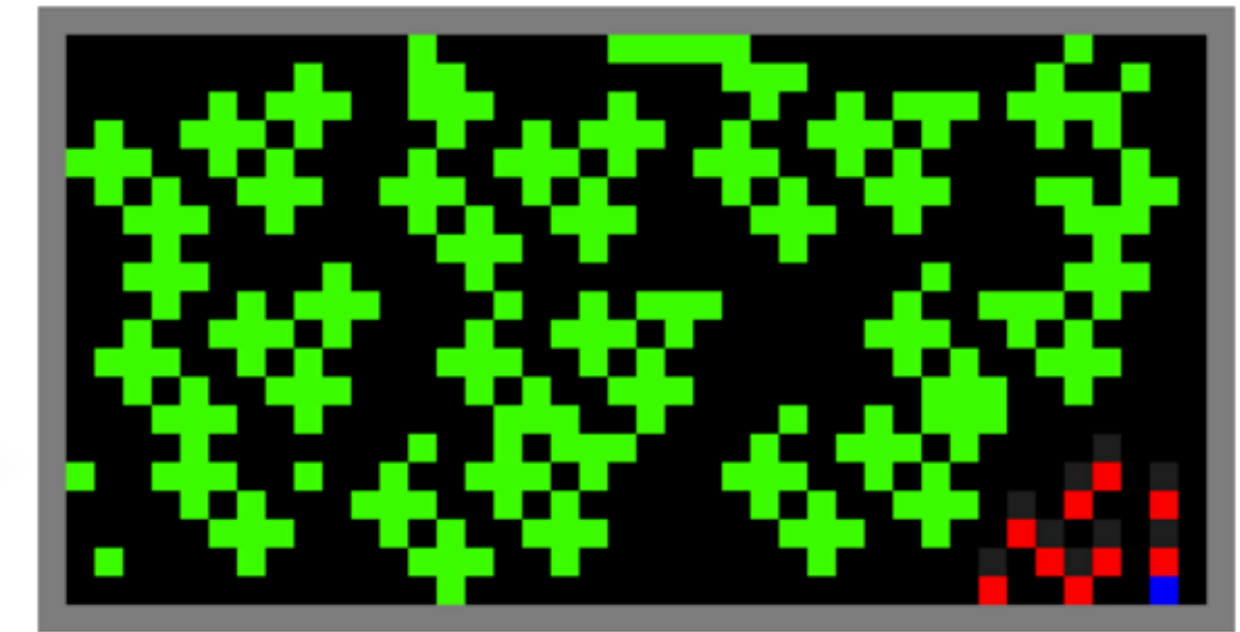
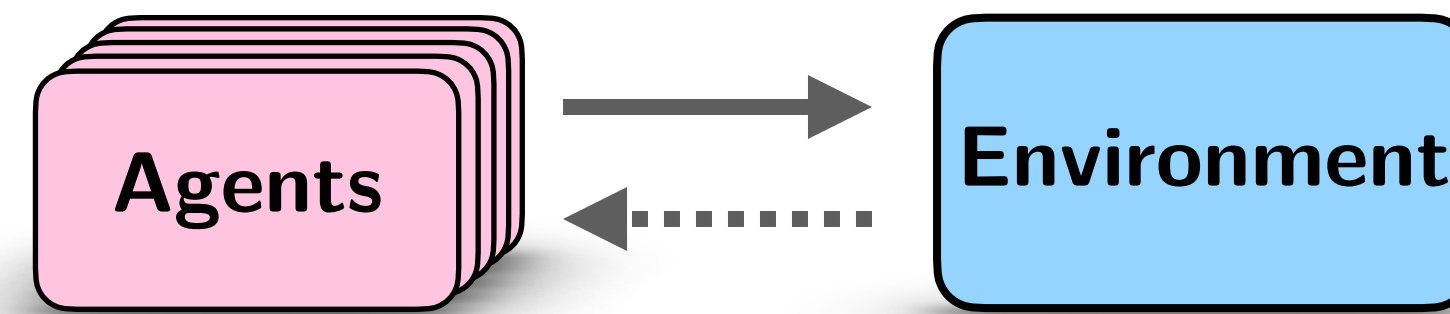
- Social dilemmas are often embedded in dynamically changing environments
- The multiagent-environment framework integrates an environment into multi-agent learning
- How can we mathematically capture the environmental embedding in multi-agent learning efficiently?
- When and how do changing environments promote or hinder collective cooperation?

Uncertain Environments



- Observations of the environmental states are often noisy and incomplete
- How can we mathematically capture environmental uncertainty efficiently?
- When and how do uncertain environments promote or hinder collective cooperation?

Spatial Environments

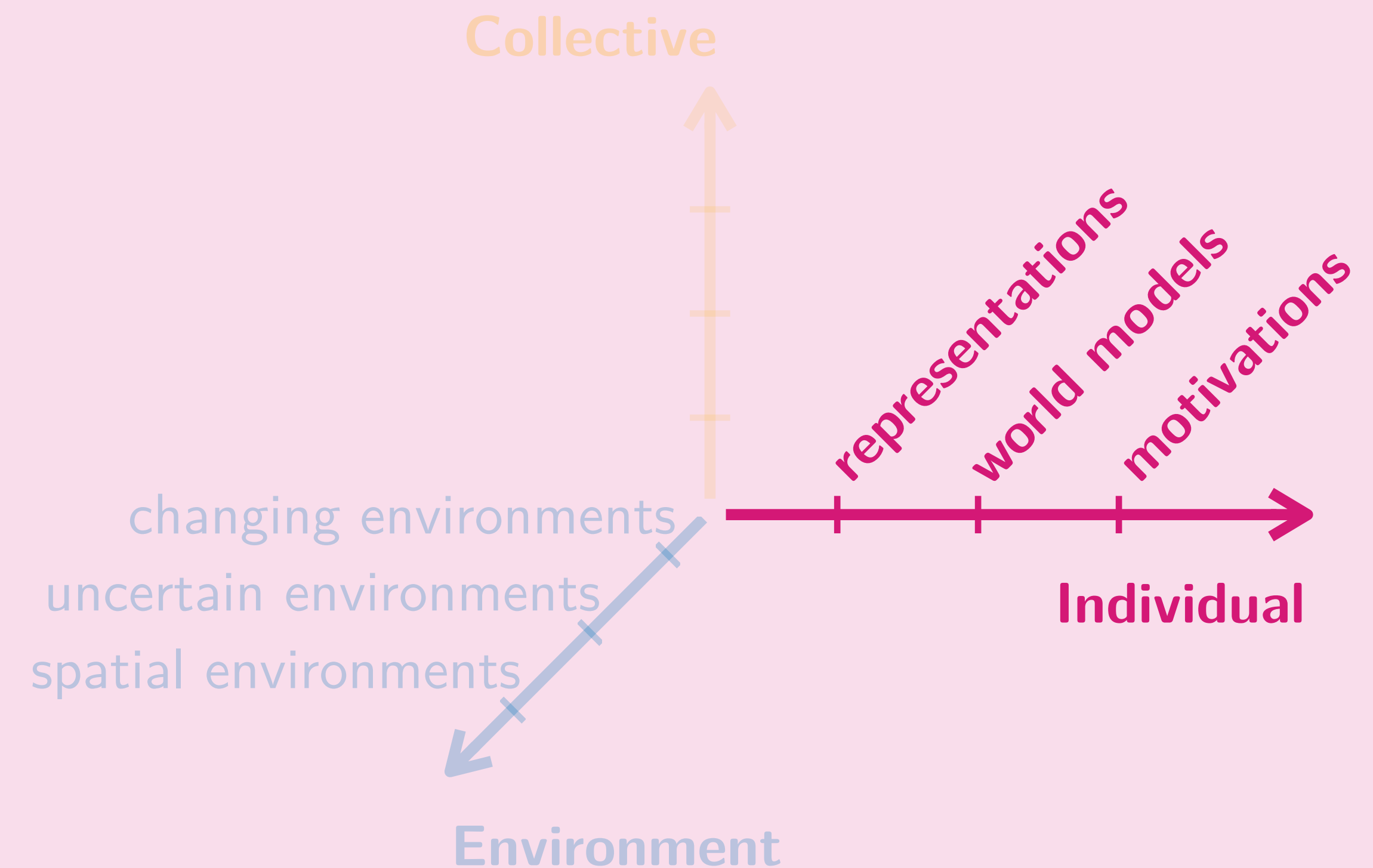


- Social dilemmas are often spatially embedded, leading to an explosion of the state space.
- How can we mathematically capture spatial embeddings efficiently?
- When and how does spatial structure promote or hinder collective cooperation?

Individuals

What, when, and how do individual traits promote cooperation?

- ML → increasingly sophisticated individuals
- CS → deliberately simple individuals
- ? How to bring **individual cognition** to a complex-systems treatment and provide insights for cooperative AI?



Intrinsic Representations

- Agents may have different representations of how the world **is**
- Learning efficient representations is critical for intelligent behavior
- How to mathematically capture individual representation learning efficiently?
- When and how do intrinsic representations promote or hinder collective cooperation?

Intrinsic Models of the World

- Agents may have different models of how the world **works**
- Learning and using intrinsic world models efficiently is critical for intelligent behavior
- How to mathematically capture intrinsic world models efficiently?
- When and how do intrinsic world models promote or hinder collective cooperation?

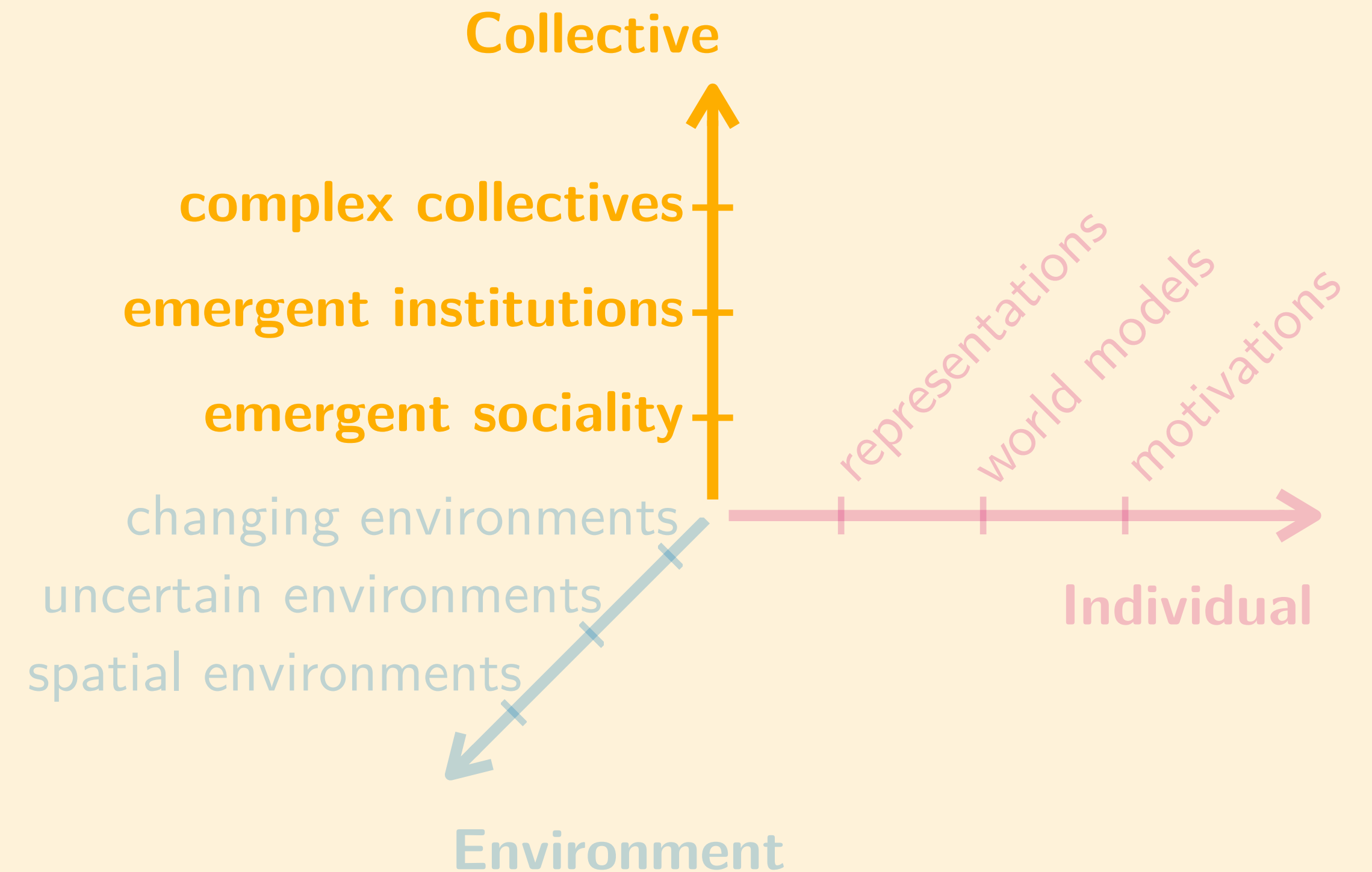
Intrinsic Motivations

- Intrinsic motivation guide learning without relying on externally supplied rewards for improved exploration, control, and homeostasis
- Pro-sociality / other-regarding preferences obviously promote cooperation
- When and how do other common intrinsic motivation types promote or hinder collective cooperation?
 - Being curious (Novelty/Surprise/Curiosity)
 - Being cautious or a risk-taker (Risk preference / Distributional RL)
 - Being controlling (Empowerment & Agency)
 - Being predictive (Low model prediction error)

Collective

When and how does cooperation emerge in large collectives?

- ML → individual behavior in complex environments
- CS → emergent collective behavior
- ? How to bring the **collective level** to machine learning and provide insights for cooperative AI?



Emergent Sociality

- Most biological agents are social - learn through imitation, bond with similar others (homophily)
- Many complex systems models model these traits directly
- Unclear when they are likely to change, break down, and how they respond to changing environments.
- When and how do such social interaction rules emerge, depending on environmental and individual traits?

Emergent Institutions

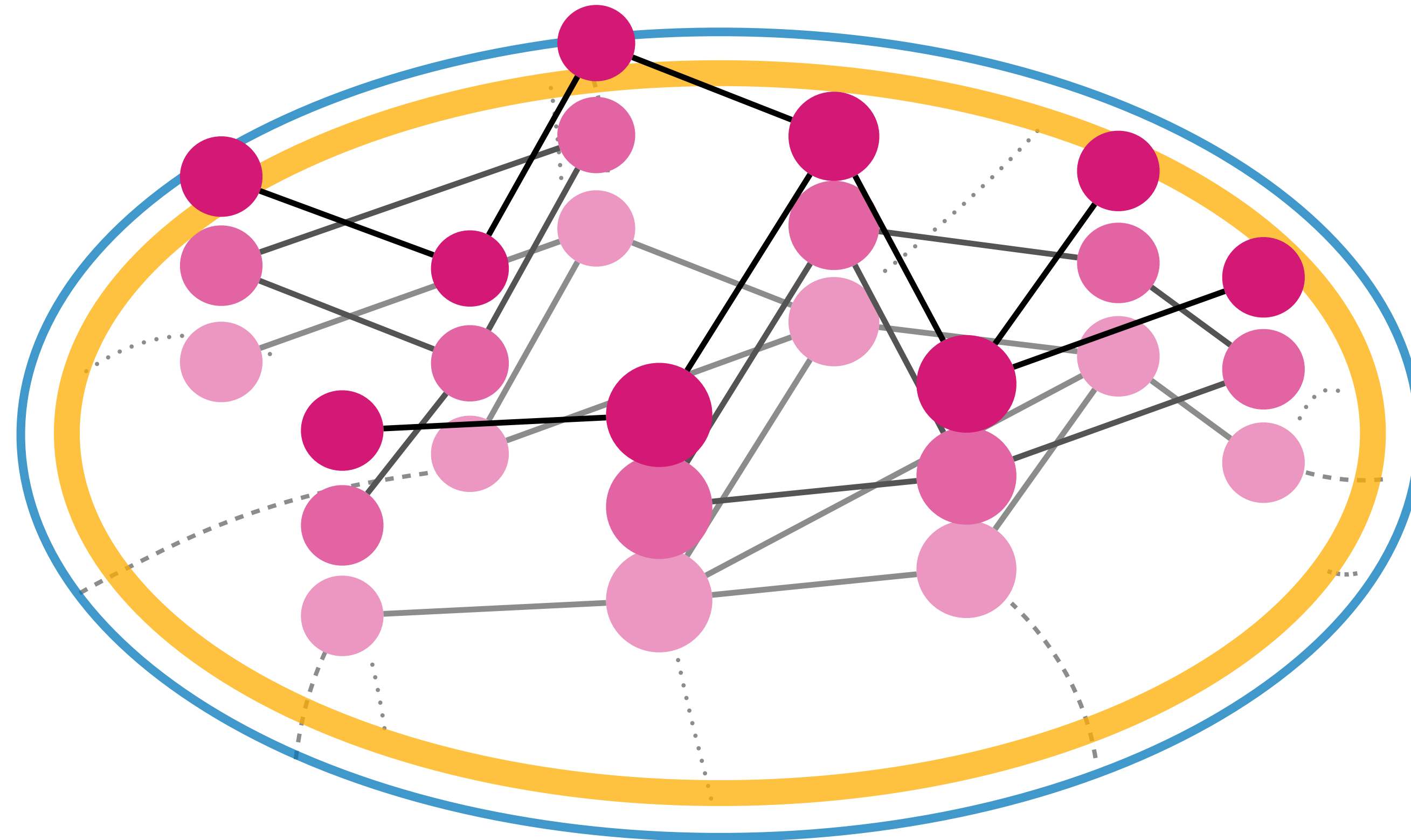
- Complex systems are often hierarchically structured and interlinked
neurons \leadsto animals \leadsto groups (households, firms, states) \leadsto societies \leadsto norms & value systems
- When and how does a collective obtain higher-level agency?
- How can micro-agents induce higher-level cooperation?

Complex Collectives

When and how does cooperation emerge in large, diverse & complex collectives?

➡ Modeling multiagent-environment systems as a complex multi-layer network

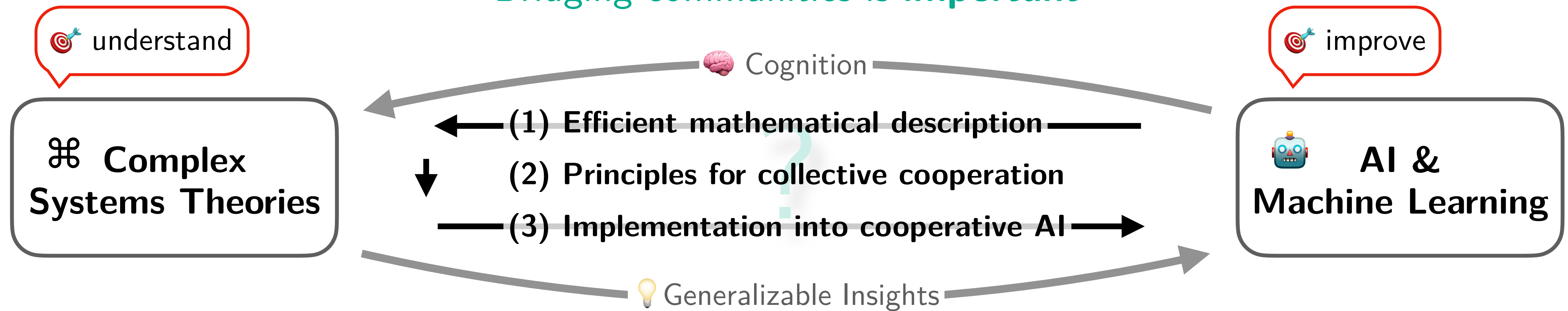
Action-Observation Layer
Reward-Observation Layer
Reward Layer



Collective Cooperative Intelligence

Building Bridges between Complex Systems and Multiagent Machine Learning

Bridging communities is **important**

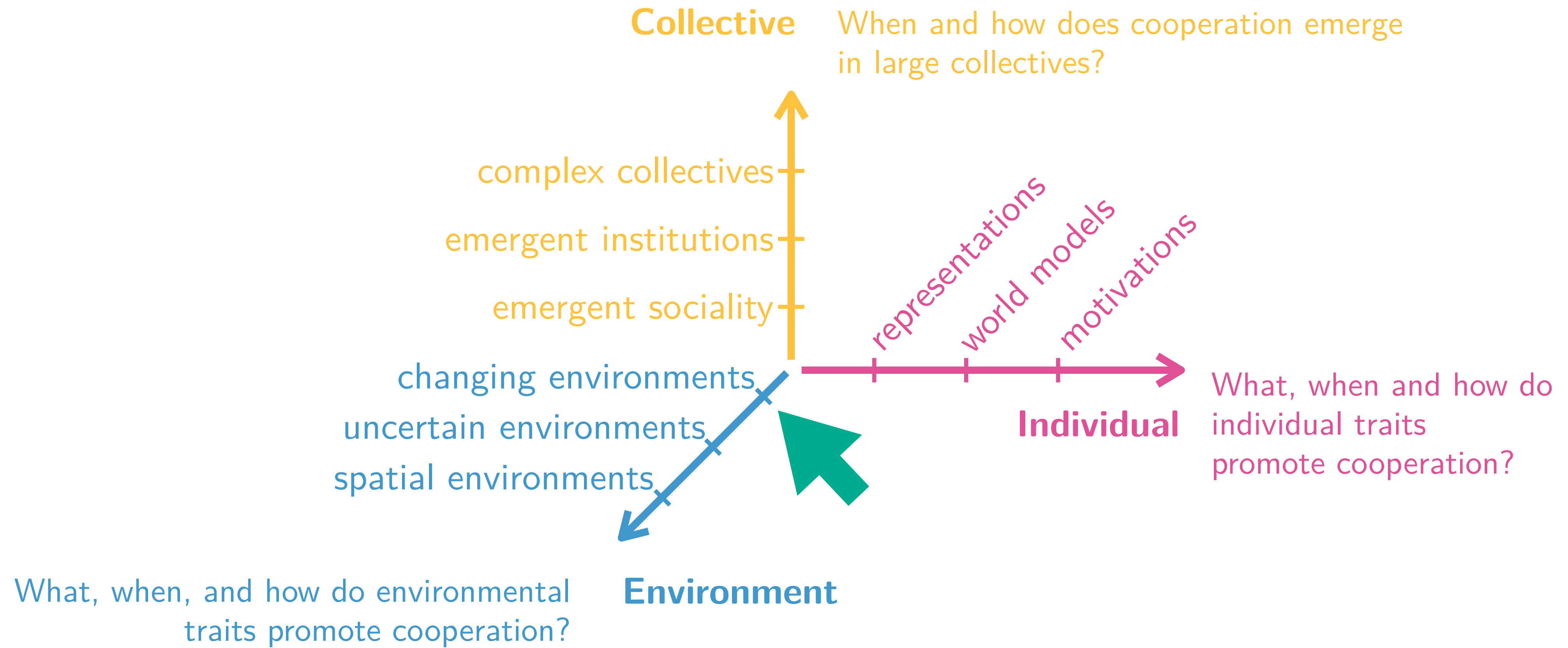


Bridging communities is **neglected**

Bridging communities is **tractable**



Tractable



Collective Learning Dynamics

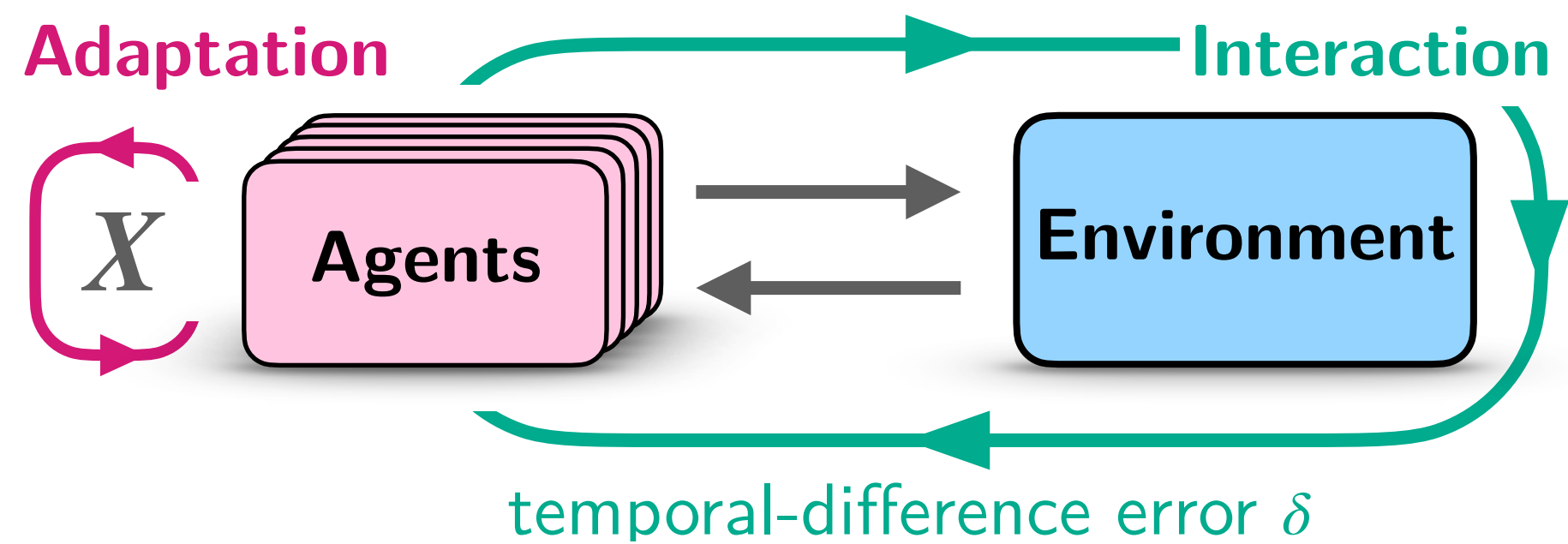
to understand **multiagent learning** in **changing environments** as a dynamical system

Existing dynamics focused on stateless games without changing environments

Hofbauer & Sigmund, 1998
 Fudenberg & Levine, 1998
 Sato & Crutchfield, 2003
 Bloembergen et al., 2015

Separating the timescales of **interaction** and **adaptation**

Standard, stochastic, independent, model-free Reinforcement learning **algorithms**



Deterministic (**environmental-aware**) Reinforcement learning **equations**

$$X_{t+1} = \frac{1}{\bar{Z}} X_t \exp(\alpha \delta)$$

Learning rate
Policy-average

Normalisation
TD-error

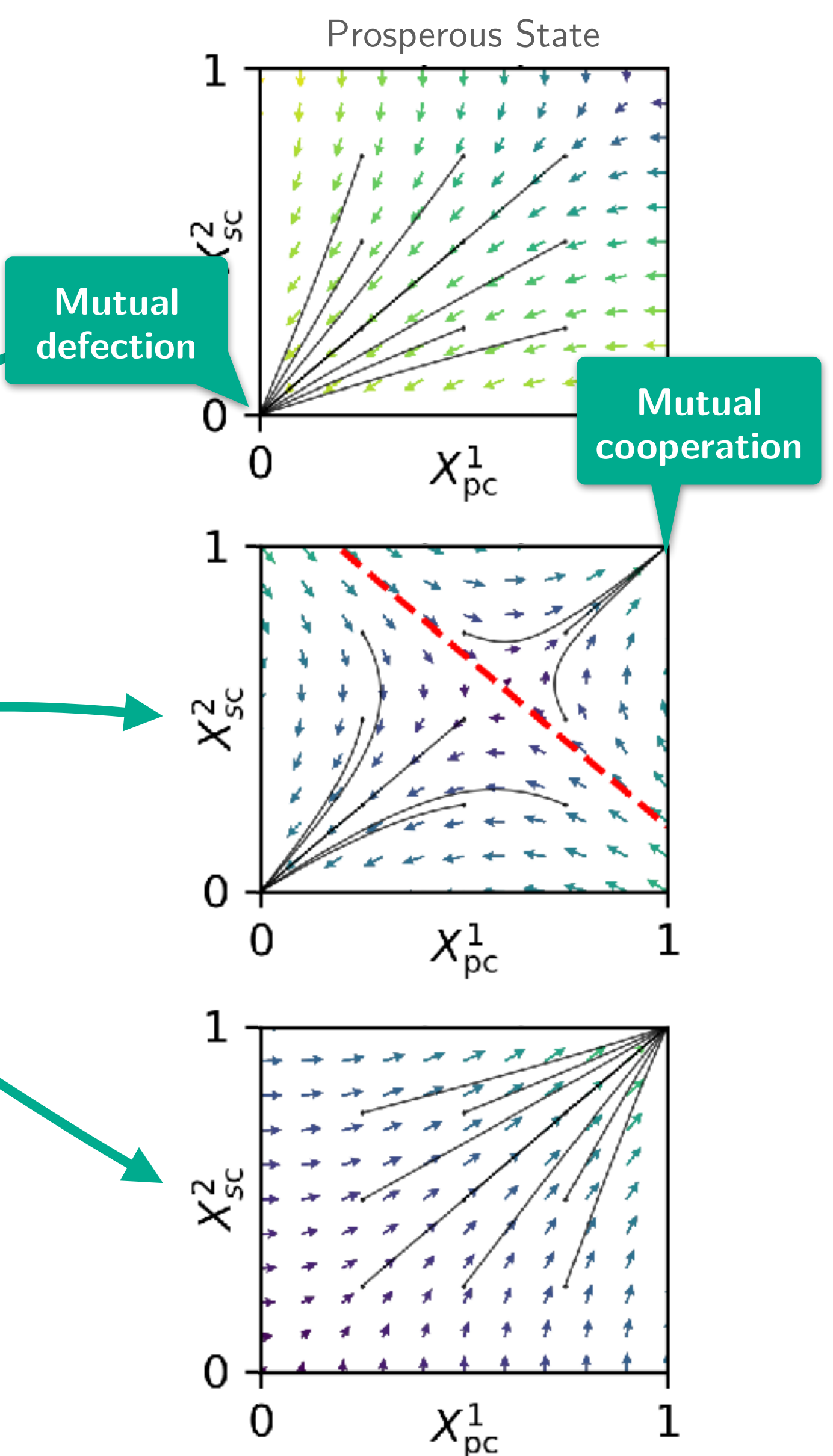
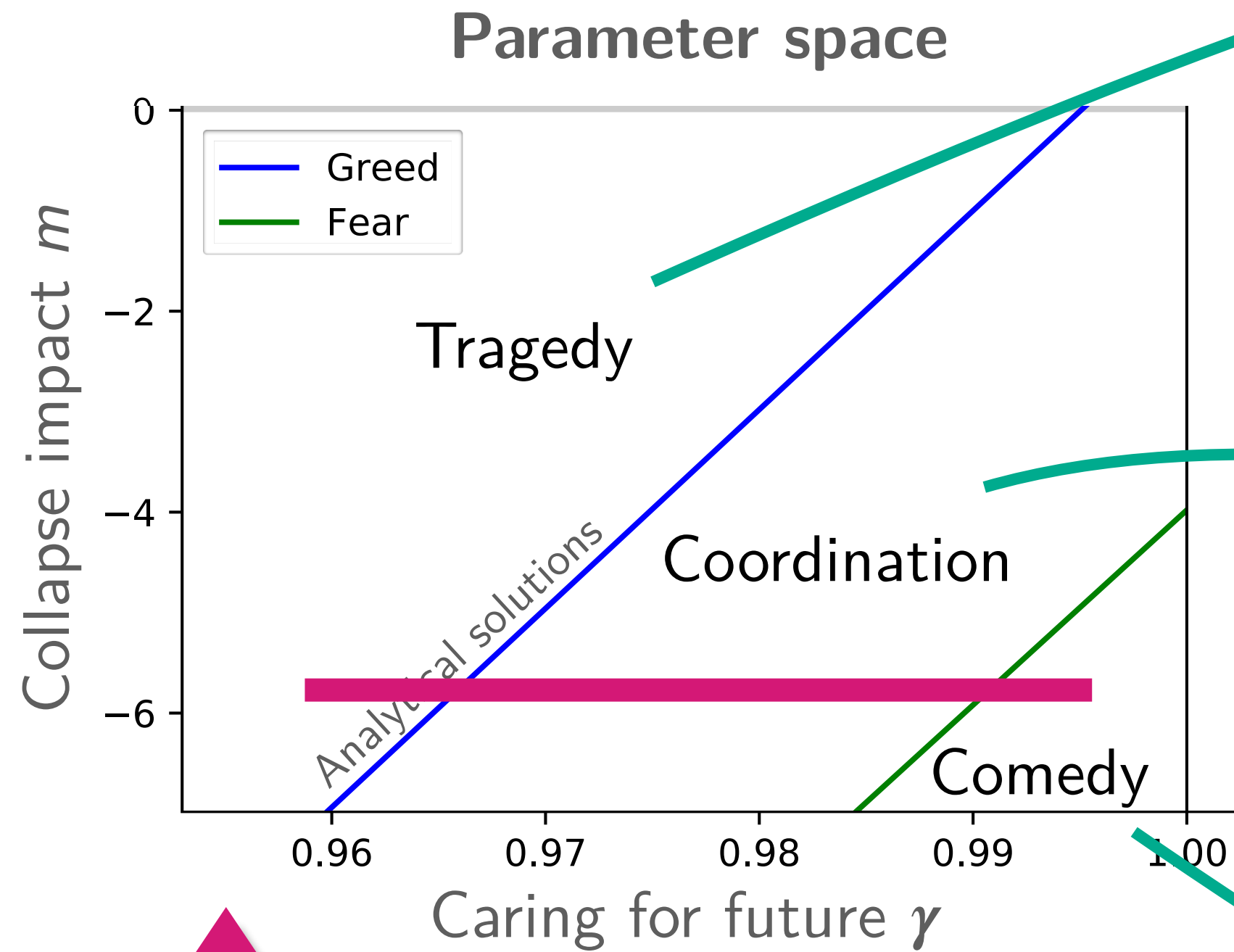
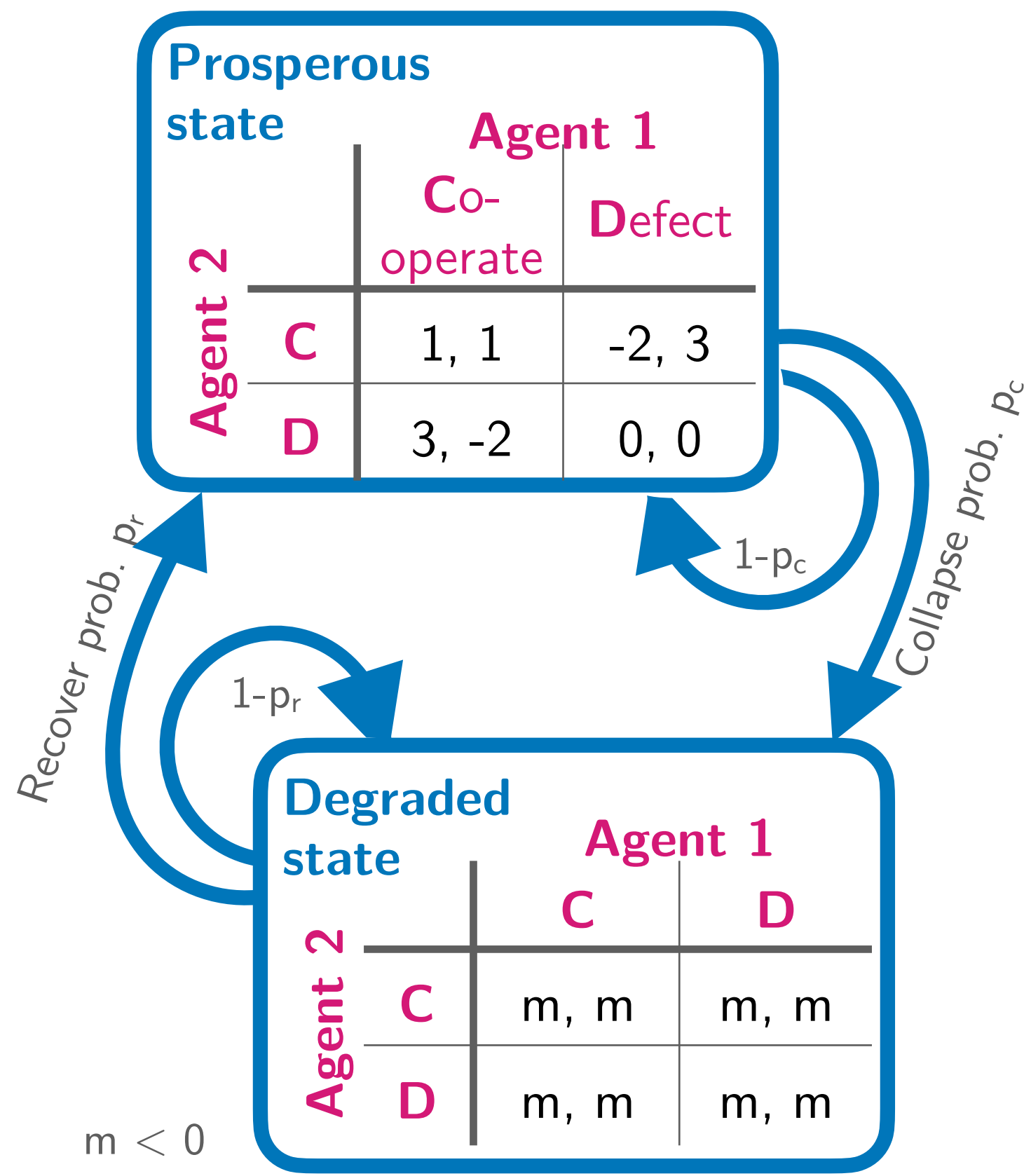
- + Analytical understanding
- + Deterministic reproducible
- + Computationally light
- Connected to scalable algorithms

An **ideal model** of multi-agent reinforcement learning as if having a perfect intrinsic model of the current environment

Barfuss et al. (2019) *Deterministic limit of temporal difference reinforcement learning for stochastic games*

Barfuss (2022) *Dynamical systems as a level of cognitive analysis of multi-agent learning*

Caring for the future **alone!** without social reciprocity can turn tragedy of the commons into a comedy in a dynamic environment

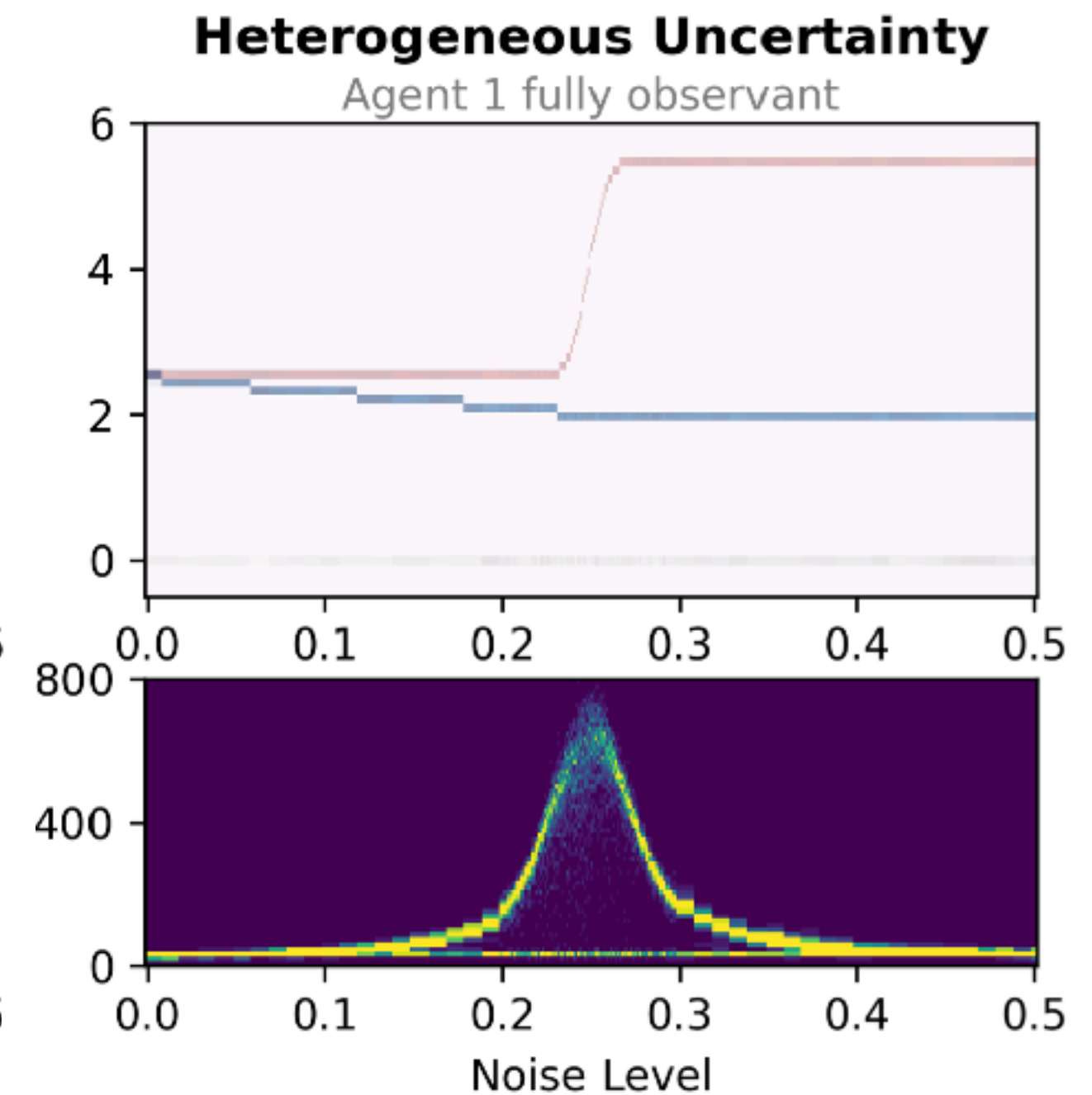
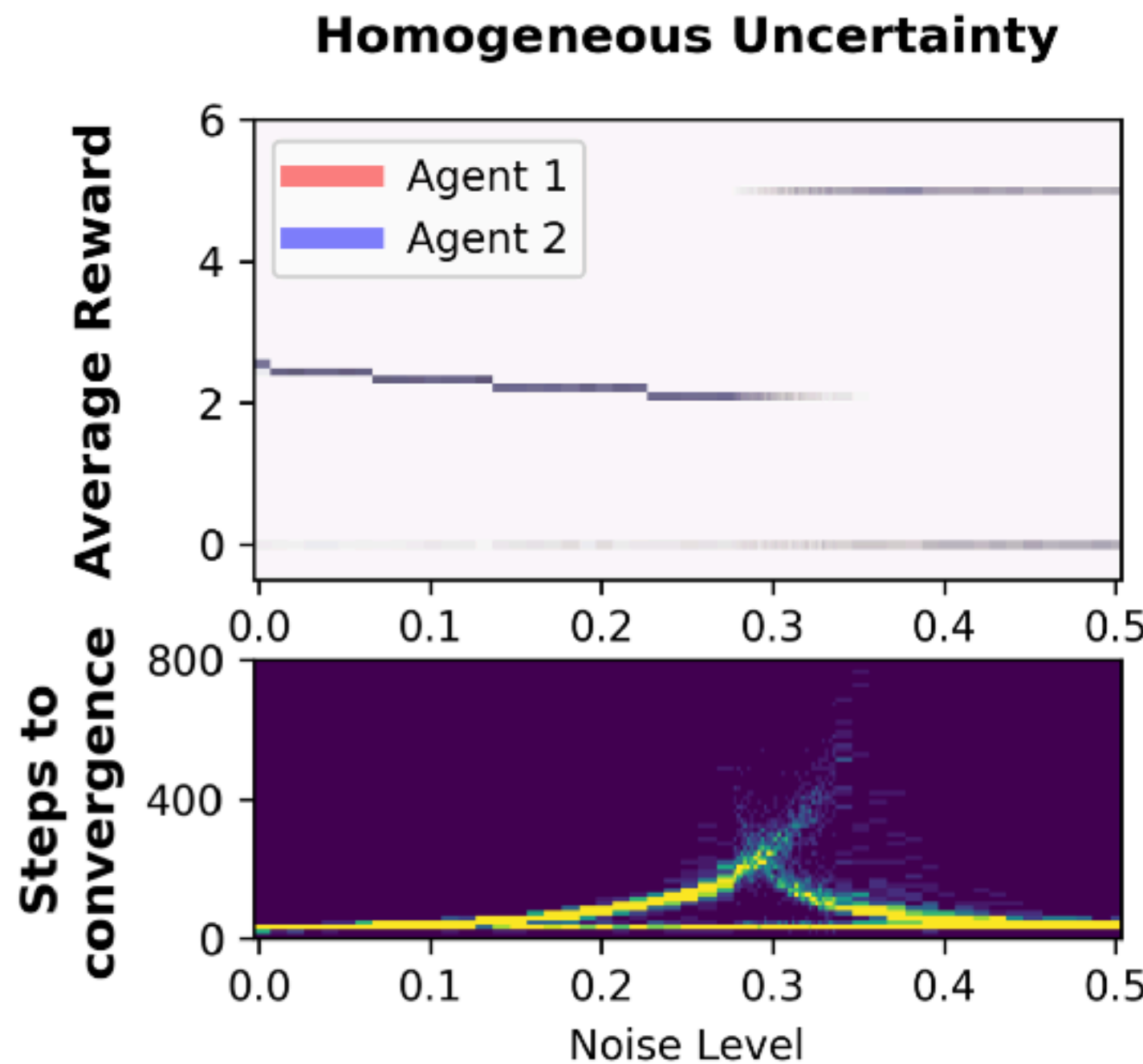
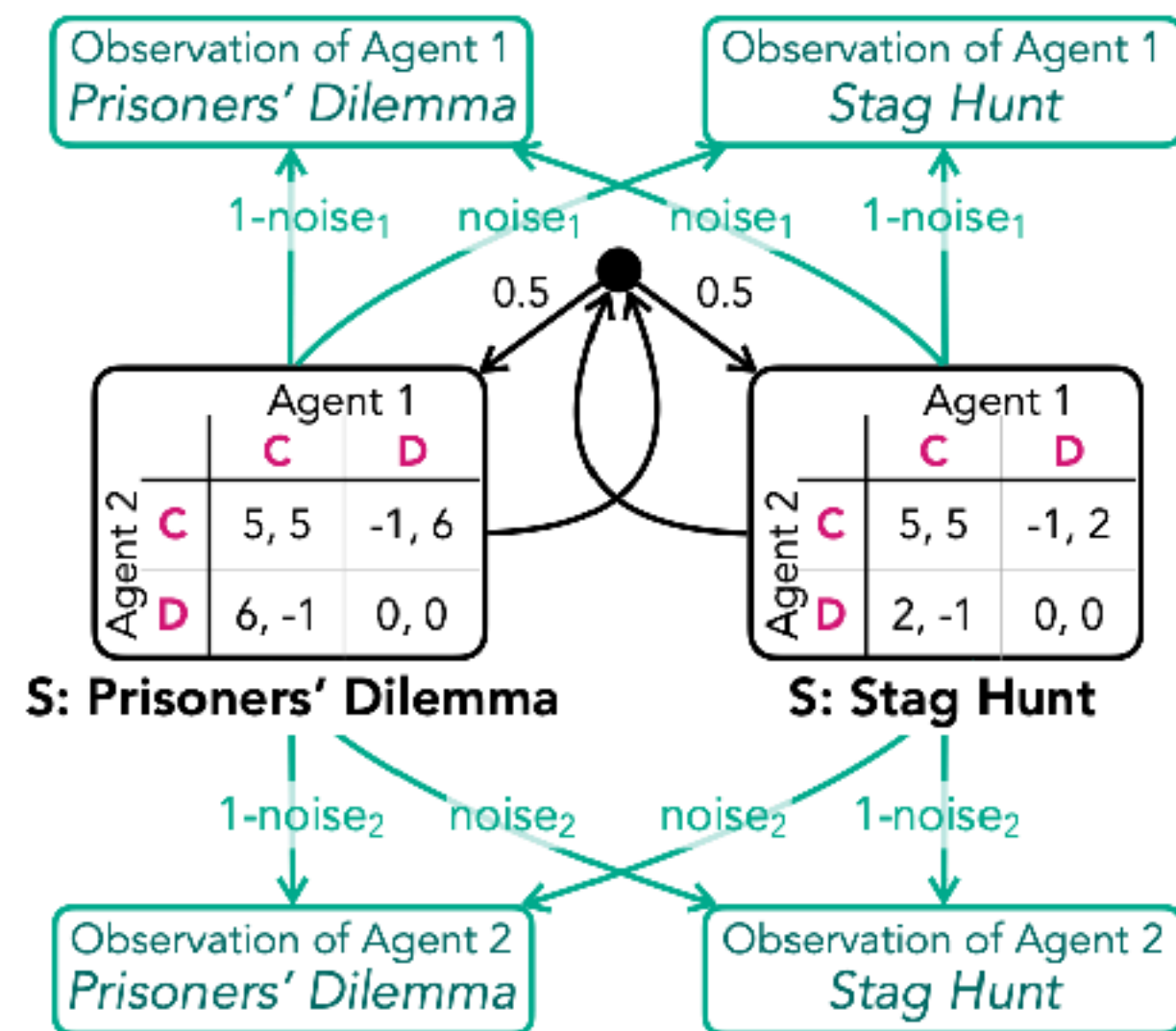


provides generalizable insight for cooperative AI

Uncertain Environments

- When and how does environmental uncertainty promote or hinder collective cooperation?
-  Partial observability can induce a critical transition towards cooperation

Tragedy or coordination problem?

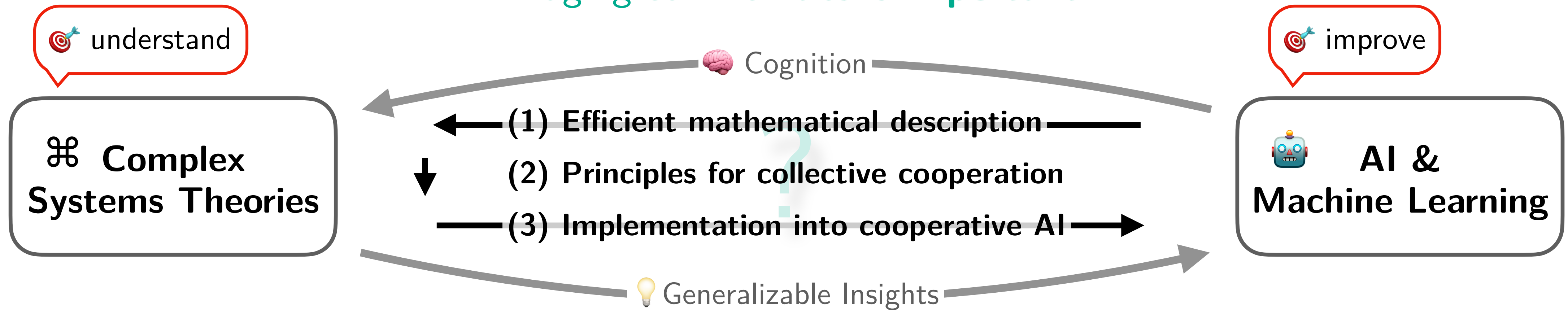


Conclusion

Collective Cooperative Intelligence

Building Bridges between Complex Systems and Multiagent Machine Learning

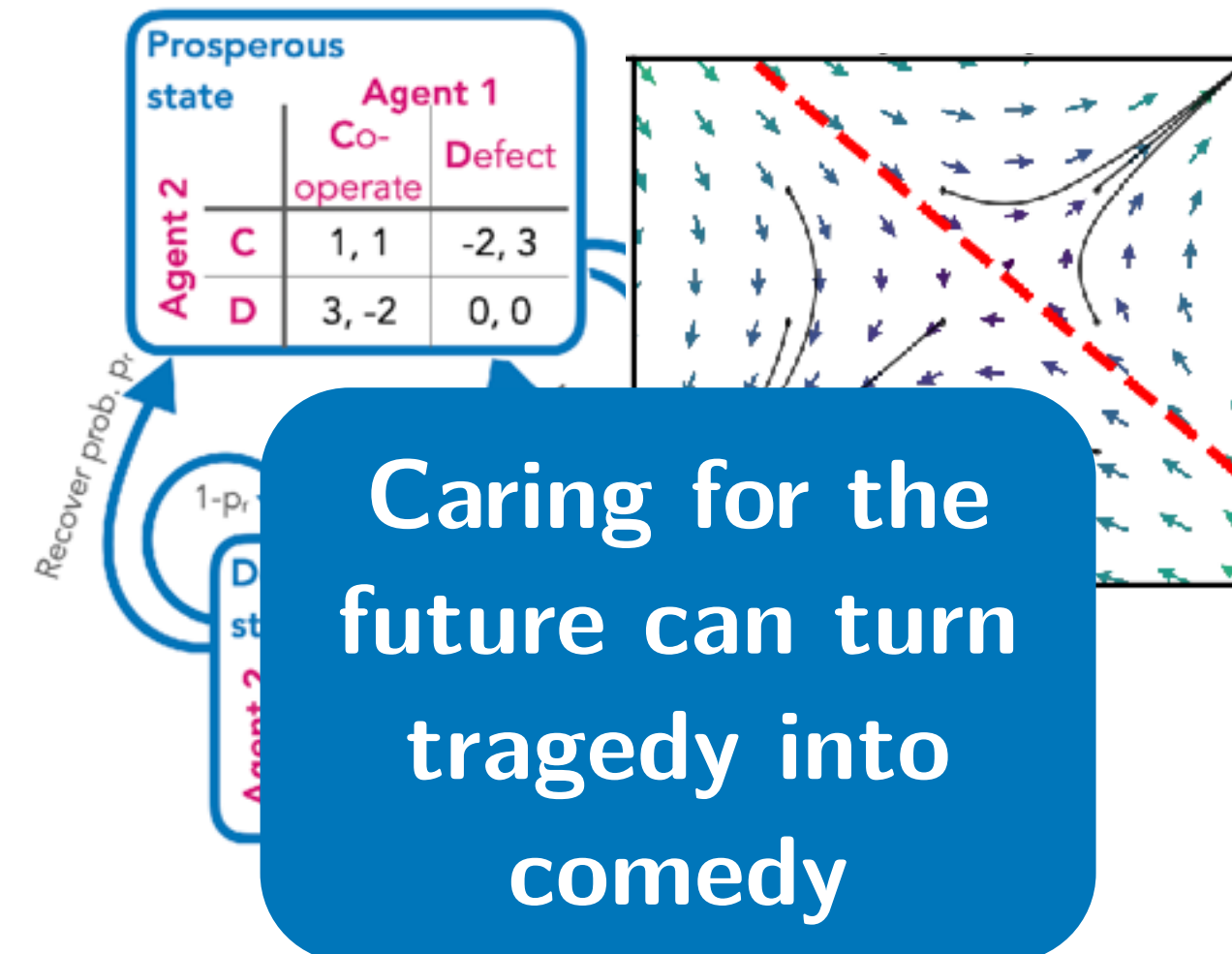
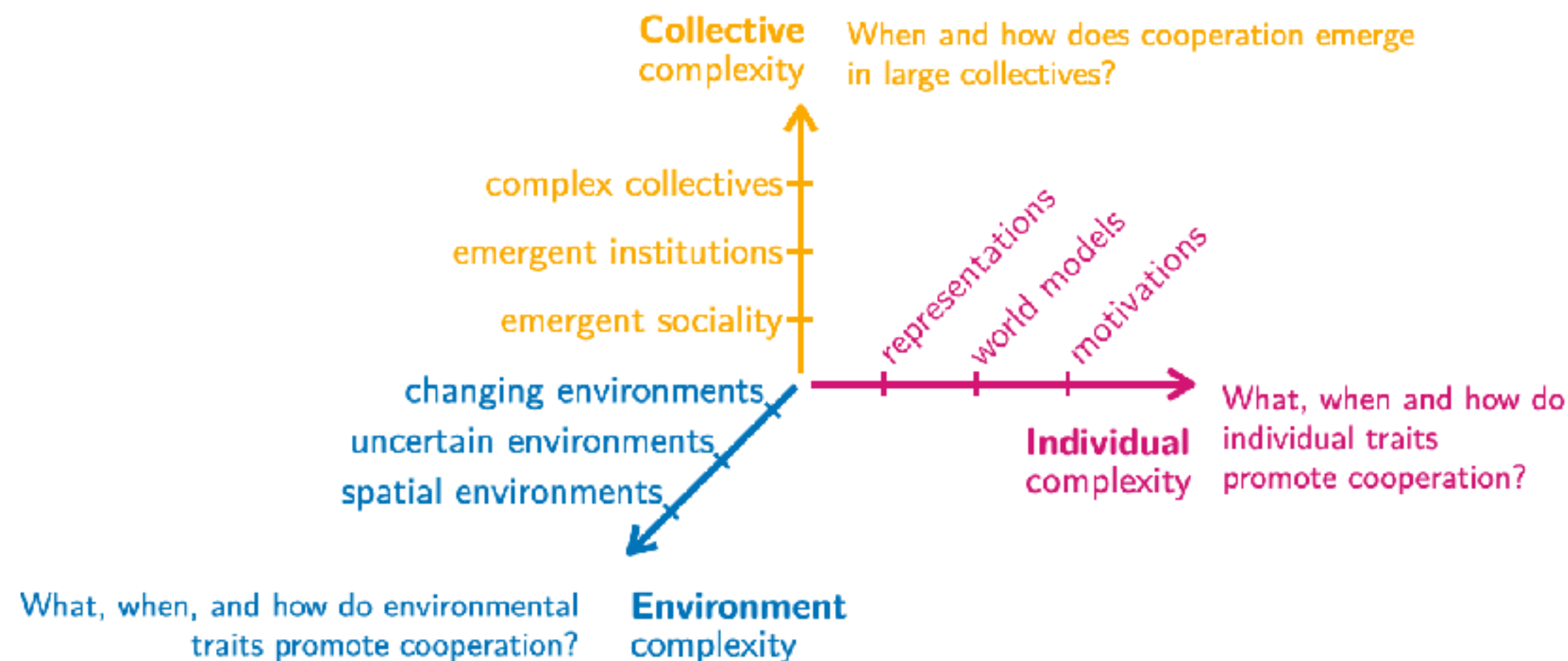
Bridging communities is **important**



Bridging communities is **neglected**

Thank you

Bridging communities is **tractable**



Caring for the future can turn tragedy into comedy